



## UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/920,332	08/02/2001	Shailaja Kasibhatla	1735.0470001

26111  
 STERNE, KESSLER, GOLDSTEIN & FOX PLLC  
 1100 NEW YORK AVENUE, N.W., SUITE 600  
 WASHINGTON, DC 20005-3934

CONFIRMATION NO. 5774  
**FORMALITIES LETTER**



\*OC000000006795086\*

Date Mailed: 09/26/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*

- Total additional claim fee(s) for this application is \$926.

		11/16/2001 MBERHE 00000036 09920332
■ \$576 for 32 total claims over 20.	:	01 FC:101 740.00 OP
■ \$80 for 1 independent claims over 3 .	:	02 FC:105 130.00 OP
■ \$270 for multiple dependent claim surcharge.	:	03 FC:103 576.00 OP
		04 FC:102 84.00 OP
		05 FC:104 280.00 OP

- The oath or declaration is missing.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 1766.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

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•LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES

\*\*REGISTERED PATENT AGENT

\*\*\*SENIOR COUNSEL

November 15, 2001

**WRITER'S DIRECT NUMBER:**  
(202) 371-2619

**INTERNET ADDRESS:**  
SCHWARTZ@SKGF.COM

Commissioner for Patents  
Washington, D.C. 20231

**Box Missing Parts**

Re: U.S. Utility Patent Application  
Appl. No. 09/920,332; Filed: August 2, 2001  
For: **Method of Identifying Immunosuppressive Agents**  
Inventors: Kasibhatla *et al.*  
Our Ref: 1735.0470001/RWE/ALS

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Fee Transmittal Form (PTO/SB/17) (*in duplicate*);
2. Notice to File Missing Parts of Nonprovisional Application;
3. An original executed Declaration;
4. An original executed Power of Attorney from Assignee;
5. A Certificate under 37 C.F.R. § 3.73(b) from Assignee, with a copy of the Assignment attached (not for recordation);
6. One (1) return postcard; and

Commissioner for Patents  
November 15, 2001  
Page 2

7. Our check no. 33206 for \$1,810.00 to cover:  
\$740.00 Filing Fee for Patent Application (37 C.F.R. § 1.16)  
\$940.00 Extra claim fee (37 C.F.R. 1.16); and  
\$130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16).

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Aaron L. Schwartz  
Agent for Applicants  
Registration No. 48,181

RWE/ALS/lam  
Enclosures

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NOV 15 2001  
PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

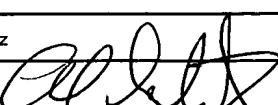
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$1,810.00)

Complete if Known

Application Number	09/920,332
Filing Date	August 2, 2001
First Named Inventor	Shailaja Kasibhatla
Examiner Name	To be assigned
Group Art Unit	1645
Attorney Docket No.	1735.0470001/RWE/ALS

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:	<b>3. ADDITIONAL FEES</b>				
Deposit Account Number	Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee paid
19-0036	105	205	130	65 Surcharge - late filing fee or oath	130.00
Deposit Account Name	127	227	50	25 Surcharge - late provisional filing fee or cover sheet	
Sterne, Kessler, Goldstein & Fox P.L.L.C.	139	139	130	130 Non-English specification	
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	147	147	2,520	2,520 For filing a request for ex parte reexamination	
<input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27	112	920*	112	920* Requesting publication of SIR prior to Examiner action	
	113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
2. <input checked="" type="checkbox"/> Payment Enclosed:	115	110	215	55 Extension for reply within first month	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other*	116	400	216	200 Extension for reply within second month	
*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.	117	920	217	460 Extension for reply within third month	
	118	1,440	218	720 Extension for reply within fourth month	
<b>FEE CALCULATION</b>	128	1,960	228	980 Extension for reply within fifth month	
<b>1. BASIC FILING FEE</b>	119	320	219	160 Notice of Appeal	
Large Entity Fee (\$)	Small Entity Fee Code	Fee Description	Fee Paid		
101 740 201	370	Utility filing fee	740.00		
106 330 206	165	Design filing fee			
107 510 207	255	Plant filing fee			
108 740 208	370	Reissue filing fee			
114 160 214	80	Provisional filing fee			
<b>SUBTOTAL (1) (\$)</b> 740.00					
<b>2. EXTRA CLAIM FEES</b>	Extra	Fee from below	Fee Paid		
Total Claims 52	- 20** = 32	X 18.00	= 576.00		
Indep. Claims 4	- 3** = 1	X 84.00	= 84.00		
Multiple Dependent	280.00		= 280.00		
<b>SUBTOTAL (2) (\$)</b> 940.00					
** or number previously paid, if greater; For Reissues, see above					
<b>3. ADDITIONAL FEES</b>					
Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee (\$)		
103 18 203	9	Claims in excess of 20			
102 84 202	42	Independent claims in excess of 3			
104 280 204	140	Multiple dependent claim			
108 84 209	42	**Reissue independent claims over original patent			
110 18 210	9	**Reissue claims in excess of 20 and over original patent			
<b>SUBTOTAL (3) (\$)</b> 130.00					
*Reduced by Basic Filing Fee Paid					
<b>SUBMITTED BY</b>					
Name (Print/Type)	Aaron L. Schwartz		Registration No. (Attorney/Agent)	48,181	Telephone
Signature				Date	11/15/01
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.					
SKG Rev. 10/01/01 mnac					